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ELI LILLY AND COMPANY

PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lihua Huang, et al.

Confirmation No. 3455

Serial No. : 09/763,153

Group Art Unit:

Filed : February 20, 2001

1652

For HUMAN PROTEIN C POLYPEPTIDE

Docket No. : X-12279

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Arlington, VA 22202

Examine:
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## Sir:

Under the guidelines of 37 C.F.R. 1.97, Applicants submit a copy of each of the documents listed on the attached Form PTO-1449 (modified) for consideration by the Examiner.

Since this statement is being filed after the period specified in §1.97(b), but before the mailing date of a final action or a notice of allowance, please charge the amount of \$180.00, the fee under 37 C.F.R. 1.17(p), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840.

Applicants request consideration of this information.

04/21/2003 HGUTEMA1 00000063 050840 09763153

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Respectfully submitted

Danica Hostettler

Agent for Applicants Registration No. 51,820

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Eli Lilly and Company Patent Division P.O. Box 6288

Indianapolis, Indiana 46206-6288

Sheet 1 of

Atty. Docket No. X-12279

February 20, 2001

Serial No 09/763,153

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

RM PTO 1449 (modified)

Applicants Huang, et al. Filing Date

Group 1652

L			U.S. PATE	NT DOCUME	NTS		
Examiner Initials*	Cite No. 1	Document Number Number-Kind Code <sup>2</sup> known)			Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Pages or Relevant
	AA	US					rigures Appear
	AB	US				75.	P
	AC	US				CHCEN	-22/D
		FO	REIGN PAT	ENT DOCUM	ENTS		P10
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number 4-Kind Code5 (if known)	Publication Da		cant of	Pages, Columns, Where Relev Passages or Re Figures App	levant
	BA	WO 91 12320 A	08-22-1991	Zymogen Teijin	etics,		
	BB	JP 05 064588 A	03-19-1993	Teijin		abstract	
	BC	WO 9109960 A	07-11-1991	Zymogen	etics		
	BD	EP 352651 A	1/31/90	Hoechst	Japan		
		NON PA	TENT LITE	RATURE DO	CIMENT	rg.	
Examiner Initials*	Cite No. <sup>1</sup>	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s)					
	CA	publisher, city and/or country where published.  B. LIND, et al., "Splice site mutation in the human protein C gene associated with venous thrombosis: demonstration of exon skipping by ectopic transcript analysis" Blood, vol. 82, No. 8, (15 October 1993), pp. 2423-2432					
	СВ	Y. SUGAHARA, et al., "Protein C deficiency Hong Kong 1 and 2: Hereditary protein C deficiency caused by two mutant alleles, a 5-nucleotide deletion and a missense mutation", Blood, 80(1), July 1992, pp. 126-133					
	cc	B. GRINNELL, et al., "Expression, Characterization, and Processing of Recombinant Human Protein C from Adenovirus-Transformed Cell Lines," pp. 226-236, Genetics and Molecular Biology of Industrial Microorganisms (C. Hershberger, S. Queener, and G. Hegeman, Eds.) (1989), American Society for Microbiology: Washington, DC 20005.					us-

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nitial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that

issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if preside the serial number of the patent document.

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